



# ADVENT PARTNERS

ADVENT PARTNERS is an independent practice of **thrivent**

Name \_\_\_\_\_

Date \_\_\_\_\_

## FINANCIAL DOCUMENT CHECKLIST

Please provide the most recent statements or documents that are applicable to you:

### Income

- Recent pay statements
- Most recent federal and state tax returns, including schedules
- Most recent Social Security statements(s)
- Expenses/cash flow worksheet
- Pensions

### Cash Accounts

- Certificates of Deposit (CDs)

### Insurance

- Statement for life insurance contracts
- Disability income insurance contracts
- Long-term care insurance contract

### Estate and legal documents

- Copy of will, trust or other documents
- Copy of power of attorney and health care directive

### Retirement accounts

- 401(k), 403(b), 401(a), 457, and/or other plans
- Traditional IRAs
- SEP/SIMPLE IRAs
- Roth IRAs

### Nonqualified accounts

- Brokerage statements
- Stock options
- Mutual Funds
- Annuities

### Education Funding Accounts

- 529 plans
- UGMA/UTMAs

### Real Estate

- Primary residence value
- Other real estate values

### Liabilities

- Mortgage/home equity loans
- Student loans
- Auto loans
- Credit Card debt/line of credit

### Others

- Risk assessment
- \_\_\_\_\_
- \_\_\_\_\_
- \_\_\_\_\_
- \_\_\_\_\_

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